



Attorney Docket No. T9613.CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: PAUL T. CLEGG et al.)
TITLE: BUTTON ASSEMBLY WITH)
STATUS INDICATOR AND)
PROGRAMMABLE)
BACKLIGHTING.)
SERIAL NO.: 10/654,778)
FILED: September 3, 2003)
EXAMINER: M. A. Friedhofer)
ART UNIT: 2832)

SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R.
§ 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-SB-08, which contains a list of patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully invokes the Patent Office's obligation under 37 C.F.R. § 1.97 to consider these references and make them of record in the above-captioned application. While no representation is made that

Certificate of Deposit Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the 16 day of October, 2006.

10/17/2006 TTRAM1 00000007 10654778

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Grant R. Clayton
Attorney Registration No. 32,462
Attorney for Applicant

any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously cited by or submitted to the Office, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Statement of References Previously Disclosed (if any)
Under 37 C.F.R. § 1.98(d)

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) that was not previously submitted to, or cited by, the Patent Office, or that is not a U.S. Patent or Publication, is also enclosed.

Statement of Relevance of References Listed
Unaccompanied by English Translation
Under 37 C.F.R. § 1.98(a)(3)

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference not in the English language or unaccompanied by a translation into English is provided.

DD 219 637 A1 (Lein) is believed to be potentially relevant to the above-captioned application because of the figures therein. Although the figures do not appear to teach or suggest the invention defined in any of the claims of the above-captioned application, the structural elements in the figures may appear to be potentially relevant to some of the disclosure contained in the above-captioned application.

DE 30 08 339 A1 (Micheel) is believed to be potentially relevant to the above-captioned application because of the figures therein. Although the figures do not appear to teach or suggest the invention defined in any of the claims of the above-captioned application, the structural elements in the figures may appear to be potentially relevant to some of the disclosure contained in the above-captioned application.

DE 30 12 840 A1 (Haugk) is believed to be potentially relevant to the above-captioned application because of the figures therein. Although the figures do not appear to teach or suggest the invention defined in any of the claims of the above-captioned application, the structural elements in the figures may appear to be potentially relevant to some of the disclosure contained in the above-captioned application.

DE 32 21 873 A1 (Bergfeld et al.) is believed to be potentially relevant to the above-captioned application because of the figures therein. Although the figures do not appear to teach

or suggest the invention defined in any of the claims of the above-captioned application, the structural elements in the figures may appear to be potentially relevant to some of the disclosure contained in the above-captioned application.

Statement of References Not Required to Be Submitted Under 37
C.F.R. § 1.98(a)(2)(ii)

The following listed references are not required to be provided under 37 C.F.R. § 1.98(a)(2)(ii), and are therefore not enclosed, because they are U.S. patents and/or publications and the present application was filed after June 30, 2003: AA-AS, AZ-BR, and BU-DP.

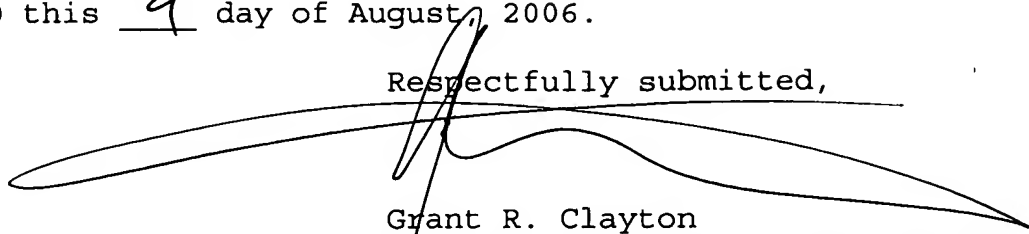
Submission Fee Under 37 C.F.R. § 1.97(c)

In accordance with 37 C.F.R. § 1.97(c), a completed Form PTO-2038 authorizing the Commissioner to charge the indicated credit card in the in the amount of \$180.00 to cover the submission fee is enclosed to secure consideration of the references listed on the enclosed Form PTO-SB-08.

Please charge any additional fees or credit any overpayment
to Deposit Account No. 50-0836.

DATED this 9 day of August, 2006.

Respectfully submitted,

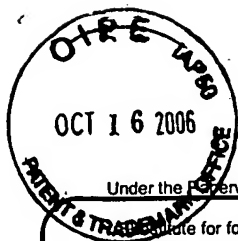


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PTO/SB/08A (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 8

Complete if Known

Application Number	10/654,778
Filing Date	September 3, 2003
First Named Inventor	Paul T. Clegg
Art Unit	2832
Examiner Name	Michael A. Friedhofer
Attorney Docket Number	T9613.CIP

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 2,482,773	09-27-1949	Hieronymus	
	AB	US- 3,579,030	05-18-1971	Bentham et al.	
	AC	US- 3,689,886	09-05-1972	Durkee	
	AD	US- 3,706,914	12-19-1972	Van Buren	
	AE	US- 3,707,682	12-26-1972	Harris	
	AF	US- 3,763,394	10-02-1973	Blanchard	
	AG	US- 3,784,875	01-08-1974	Baker et al.	
	AH	US- 3,868,546	02-25-1975	Gilbreath et al.	
	AI	US- 3,868,547	02-25-1975	Kappenhagen et al.	
	AJ	US- 3,986,423	10-19-1976	Rossum	
	AK	US- 4,057,751	11-08-1977	Bonsignore et al.	
	AL	US- 4,060,735	11-29-1977	Pascucci et al.	
	AM	US- 4,156,866	05-29-1979	Miller	
	AN	US- 4,185,531	01-29-1980	Oberheim et al.	
	AO	US- 4,187,528	02-05-1980	Morriess	
	AP	US- 4,196,388	04-01-1980	Weller et al.	
	AQ	US- 4,200,862	04-29-1980	Campbell et al.	
	AR	US- 4,246,494	01-20-1981	Foreman et al.	
	AS	US- 4,253,048	02-24-1981	Osako	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	AT	CA-2 245 671	09-23-2003	Mosebrook et al.		
	AU	DD-219 637	03-06-1985	Lein		
	AV	DE-30 08 339	09-17-1981	Micheel		
	AW	DE-30 12 840	10-08-1981	Haugk		
	AX	DE-32 21 873	01-05-1984	Bergfeld et al.		
	AY	GB-1,090,891	11-14-1967	Bentham		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
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(Use as many sheets as necessary)

Sheet **2**of **8****Complete if Known**

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Filing Date	September 3, 2003
First Named Inventor	Paul T. Clegg
Art Unit	2832
Examiner Name	Michael A. Friedhofer
Attorney Docket Number	T9613.CIP

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		Number-Kind Code ² (if known)			
	AZ	US- 4,259,619	03-31-1981	Wall	
	BA	US- 4,277,727	07-07-1981	LeVert	
	BB	US- 4,359,670	11-16-1982	Hosaka et al.	
	BC	US- 4,390,814	06-28-1983	Peek	
	BD	US- 4,392,187	07-05-1983	Bornhorst	
	BE	US- 4,437,169	03-13-1984	Bertenshaw et al.	
	BF	US- 4,455,546	06-19-1984	Roszel	
	BG	US- 4,489,385	12-18-1984	Miller et al.	
	BH	US- 4,523,132	06-11-1985	Christiansen et al.	
	BI	US- 4,527,198	07-02-1985	Callahan	
	BJ	US- 4,540,917	09-10-1985	Luchaco et al.	
	BK	US- 4,550,276	10-29-1985	Callahan et al.	
	BL	US- 4,628,440	12-09-1986	Thompson	
	BM	US- 4,638,299	01-20-1987	Campbell	
	BN	US- 4,680,536	07-14-1987	Roszel et al.	
	BO	US- 4,695,820	09-22-1987	D'Aleo et al.	
	BP	US- 4,697,227	09-29-1987	Callahan	
	BQ	US- 4,772,825	09-20-1988	Tabor et al.	
	BR	US- 4,792,731	12-20-1988	Pearlman et al.	

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	BS	GB-2 076 180	11-25-1981	Bertenshaw et al.		
	BT	GB-2 097 918	11-10-1982	Smith et al.		

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		Number-Kind Code ² (if known)			
	BU	US- 4,797,599	01-10-1989	Ference et al.	
	BV	US- 4,880,950	11-14-1989	Carson et al.	
	BW	US- 4,893,062	01-09-1990	D'Aleo et al.	
	BX	US- 4,908,806	03-13-1990	Nickolaus	
	BY	US- 4,924,151	05-08-1990	D'Aleo et al.	
	BZ	US- 4,995,053	02-19-1991	Simpson et al.	
	CA	US- 5,012,225	04-30-1991	Gill	
	CB	US- 5,027,106	06-25-1991	Lizzi et al.	
	CC	US- 5,030,893	07-09-1991	Spira et al.	
	CD	US- 5,059,871	10-22-1991	Pearlman et al.	
	CE	US- 5,099,193	03-24-1992	Moseley et al.	
	CF	US- 5,103,209	04-07-1992	Lizzi et al.	
	CG	US- 5,187,655	02-16-1993	Post et al.	
	CH	US- 5,191,265	03-02-1993	D'Aleo et al.	
	CI	US- 5,357,170	10-18-1994	Luchaco et al.	
	CJ	US- 5,361,184	11-01-1994	El-Sharkawi et al.	
	CK	US- 5,400,041	03-21-1995	Strickland	
	CL	US- 5,430,356	07-04-1995	Ference et al.	
	CM	US- 5,463,286	10-31-1995	D'Aleo et al.	

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Sheet 4

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First Named Inventor	Paul T. Clegg
Art Unit	2832
Examiner Name	Michael A. Friedhofer
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		Number-Kind Code ² (if known)			
	CN	US- 5,473,202	12-05-1995	Mudge et al.	
	CO	US- 5,523,631	06-04-1996	Fishman et al.	
	CP	US- 5,555,150	09-10-1996	Newman, Jr.	
	CQ	US- 5,563,459	10-08-1996	Kurosawa et al.	
	CR	US- 5,574,431	11-12-1996	McKeown et al.	
	CS	US- 5,638,296	06-10-1997	Johnson et al.	
	CT	US- 5,861,809	01-19-1999	Eckstein et al.	
	CU	US- 6,091,205	07-18-2000	Newman, Jr. et al.	
	CV	US- 6,100,659	08-08-2000	Will et al.	
	CW	US- 6,201,364	03-13-2001	Will et al.	
	CX	US- 6,331,687	12-18-2001	Dunk et al.	
	CY	US- 6,359,562	03-19-2002	Rubin	
	CZ	US- 6,400,271	06-04-2002	Davies, Jr. et al.	
	DA	US- 6,646,843	11-11-2003	Newman, Jr. et al.	
	DB	US- 6,674,248	01-06-2004	Newmna, Jr. et al.	
	DC	US- 6,774,328	08-10-2004	Adams et al.	
	DD	US- D163,736	06-26-1951	Bangert, Jr.	
	DE	US- D249,141	08-29-1978	Mayo	
	DF	US- D285,066	08-12-1986	Liss et al.	

FOREIGN PATENT DOCUMENTS

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		Filing Date	September 3, 2003
		First Named Inventor	Paul T. Clegg
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		Examiner Name	Michael A. Friedhofer
Sheet 6	of 8	Attorney Docket Number	T9613.CIP

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	DQ	ADVANCED CONTROL TECHNOLOGIES, INC., "Innovative and Quality Solutions to Control Problems!" www.act-solutions.com, at least as early as August 23, 2005.	
	DR	ADVANCED CONTROL TECHNOLOGIES, INC., "Introducing the Next Generation of Home Control Systems HomePro RF," Mar. 17, 2006.	
	DS	COOPER, "X10 FAQ html version. based on the X10 FAQ version 1.08," Jan. 8, 1995.	
	DT	CREVIER, "Sott Crevier's X-10 Web Interface," at least as early as Nov. 11, 2002.	
	DU	DAVIS, "Zigbee Aims at Home, Utility Markets, www.reed-electronics.com/electronicnews/article/CA469135%20, Oct. 7, 2004.	
	DV	HOME CONTROLS, INC., "Home Automation & Networking Catalog," No. 52, Fall 2005.	
	DW	INTELLON CORPORATION, "Intellon HomePlug® Family of Products," 2005.	
	DX	INTELLON CORPORATION, "SSC P485 PL Transceiver IC," at least as early as Jul. 3, 2006.	
	DY	KINGERY, "Which One Should I Use?" at least as early as Jun. 30, 2006.	
	DZ	KINGERY, "Which One Should I Use, Part XII (Preamble)," at least as early as Jun. 30, 2006.	

Examiner Signature		Date Considered	
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Sheet 7	of 8	Attorney Docket Number T9613.CIP	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	EA	KINGERY, "'Digital X-10' Which One Should I Use, Part XIII (Preamble)," at least as early as Jun. 30, 2006.	
	EB	KINGERY, "'Two Way and Extended Code' Which One Should I Use, Part XIV (Preamble)," at least as early as Jun. 30, 2006.	
	EC	KINGERY, "Which One Should I Use #17. What is 'Extended Code'? (and does it wear a tuxedo?) Part 1 - 'Different Ways of Counting,'" at least as early as Jun. 30, 2006.	
	ED	KINGERY, "Which One Should I Use #18. What is 'Extended Code'? Part 2 - 'Big Indians and Little Indians,'" at least as early as Jun. 30, 2006.	
	EE	KLEIMAN, "MacDaniels' Advice: Introduction to the X10 System," Sep. 24, 2001.	
	EF	LAMSON & SESSIONS, Product Installation Instructions, 2004.	
	EG	LUTRON ELECTRONICS CO., INC., "Homeworks® seeTouch™ Ordering Guide," 2003.	
	EH	LUTRON ELECTRONICS CO., INC., "Homeworks® Interactive Vareo®-Style Lighting Controls," 1998.	
	EI	LUTRON ELECTRONICS CO., INC., Residential Lighting Controls Catalog, at least as early as Jun. 28, 2006.	
	EJ	LUTRON ELECTRONICS CO., INC., "RadioRA® Wireless Home Lighting Control RA-IR, RB-IR Setup and Installation Guide Addendum for RadioRA® Infrared Interface," 2001.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	10/654,778
		Filing Date	September 3, 2003
		First Named Inventor	Paul T. Clegg
		Art Unit	2832
		Examiner Name	Michael A. Friedhofer
Sheet 8	of 8	Attorney Docket Number	T9613.CIP

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	EK	LUTRON ELECTRONICS CO., INC., "RadioRA® Wireless Home Lighting Control RAMC-MFE, RBMC-MFE Setup and Installation for a RadioRA® Multi-Function Entry Master Control," 2001.	
	EL	LUTRON ELECTRONICS CO., INC., "RadioRA® Wireless Home Lighting Control Installation Instructions for Visor Control Transmitter," 2001.	
	EM	PRICE, "Product Development Flow Using Damosys HeadStart Suite," www.hometoys.com/htinews/aug99/articles/damosys/damosys.htm . Aug. 1999.	
	EN	WWW.SHED.COM, "X-10 is both a Company and the Technology that it developed," www.shed.com/X10.html , at least as early as Jun. 30, 2006.	
	EO	TEXAS INSTRUMENTS, Chipcon Products from Texas Instruments, 2006.	
	EP	UNKNOWN, "What is X10?" at least as early as Jul. 18, 2006.	
	EQ	UNKNOWN, "X-10 Technology Transmission Theory," at least as early as Jul. 18, 2006.	
	ER	WWW.X10.COM, "Let There Be Light," www.x10.com/news/articles/0309_light.htm , at least as early as Jul. 18, 2006.	
	ES	WWW.X10.COM, "X10 Powerline Carrier (PLC) Technology," www.x10.com/support/technology1.htm , at least as early as Jun. 30, 2006.	
	ET	X10PRO, "X10 Platform Basics," at least as early as Jun. 30, 2006.	

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